

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

1 Application Serial No. ....09/714,315  
2 Filing Date .....March 25, 2004  
3 Inventorship .....Greener et al.  
4 Applicant.....Goldman, Sachs and Co.  
Group Art Unit .....3624  
Examiner .....Kelly Scaggs Campen  
Attorney's Docket No. .....GS1-0006US  
5 Title: Automated Online Sales Risk Management System

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7 **RESPONSE TO FINAL OFFICE ACTION DATED MARCH 27, 2006 AS**  
**PRELIMINARY AMENDMENT FOR AN RCE**

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10 To: Commissioner of Patents and Trademarks  
P.O. Box 1450  
11 Alexandria, Virginia 22313-1450

12 From: Mark Farrell (Tel. 509-324-9256 ext 243; Fax 509-323-8979)  
13 Lee & Hayes, PLLC

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16 **Customer No. 56678**

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**INTRODUCTORY COMMENTS**

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2       Applicant respectfully requests entry of the following amendment and  
3 remarks contained herein in response to the Office Action mailed March 27, 2006  
4 as a Preliminary Amendment for an RCE. Applicant respectfully submits that the  
5 amendment and remarks contained herein place the instant application in condition  
6 for allowance.

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8       **A Listing of the Claims** begins on page 3 of this document.

9       **Remarks** begin on page 13 of this document.

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